### PROJECT ABSTRACT

The Arkansas Department of Finance and Administration-Intergovernmental Services will continue to utilize the Residential Substance Abuse Treatment for State Prisoners (RSAT) grant to support state and county treatment programs for incarcerated individuals who are sentenced to a short period of time. Arkansas' overall goal for the project is to break the cycle of drug addiction and violence by reducing the demand for, use, and trafficking of illegal drugs. Arkansas continues to pursue this goal by providing evidence-based treatment and services for community reintegration.

The RSAT funds support Arkansas Department of Correction/Division of Community Correction. ACC (prison-based RSAT programming) which has 6 community-based correctional facilities throughout the State, Sevier County Detention Center (jail-based RSAT programming), and Hempstead County Detention Center (jail-based RSAT programming). Funded programs coordinate treatment services, aftercare, and community reintegration activities. These programs are geared to reduce Arkansas' recidivism rate as well address the treatment for substance abuse. Arkansas plans to continue the existing programs and implement additional programs as funding becomes available.

Prison-based residential programs are based on the Modified Therapeutic Community (TC) approach; motivational interviewing techniques, and Matrix Model as well as Medication-Assisted Treatment (MAT) strategies to address and reduce opioid addictions among offenders. The prison-based programs operate in the range of 3 to 12 months, as applicable to RSAT policy, on a highly structured, rigorous schedule.

All residents fully participate in the TC programs daily. The Special Needs population has its own housing area separated from the general population. The program focuses on residents with issues such as co-occurring disorders, substance abuse, mental health and/or medical issues. Treatment Staff that work in this area are certified, trained and continue to stay updated as it relates to training while working with this population. This program operates within the long-term treatment modified therapeutic communities. The jail-based program has various partnerships in their catchment area that assist with providing aftercare treatment services and array of reentry services and activities.

Program anticipated results include the facilitation of lifestyle changes as evidenced by reduced recidivism rates. Another outcome is continued prescribed treatment (MAT) and support services in coordination with parole services system and/or program staff to the participant when released from the residential program into community. In addition, having a structured process for providing various after-care services, activities, and support to the participant for coping with the community integration and recovery.

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program

### PROGRAM NARRATIVE

### A. STATEMENT OF THE PROBLEM

At the end of State Fiscal Year 2023, there were approximately 18,313 individuals incarcerated. By the end of 12/31/2023, the ADC End of CY23 Population was 109.5% Capacity which was 16,450 offenders. Arkansas Department of Correction (ADC) population is projected to grow by 10.6% by the end of 2028 and projected to grow by 12.7% for Arkansas Community Corrections (ACC). In the 2022 Legislative Committee Reviews Recidivism Report, Arkansas Community Correction Centers findings for criminogenic data collected for three-year period of offender's release indicated that 37.5% were reconvicted and 36% were reincarcerated within three years of their release. The data also indicated that the population of reincarceration by type was 37% back to general population and 29% back to special needs population. The special needs population are the offenders who are segregated from the general population and receive additional treatment hours. Arkansas statistics indicate that offenders continue to return to prison at a higher rate. Reports indicate four out of ten offenders return to prison within three years of their release and a large percentage of those released commit a new crime. The overall reincarceration rate continues to hold at 53.5%.

Arkansas has the fourth-highest incarceration rate per capita in the United States, above Arizona but just behind Mississippi.<sup>1</sup> The state manages 75 jails in 75 counties, with an annual jail population of about 9,460. Arkansas also has 20 state prisons which hold about 17,713 inmates.<sup>2</sup> When other forms of detention are added (immigration detention, juvenile justice facilities, and other detention centers), the state effectively incarcerates individuals at a rate of 942 per 100,000, significantly higher than the U.S. average of 664 per 100,000. Unfortunately, Arkansas has a very high recidivism rate. According to the Arkansas Department of

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program Corrections, the state's recidivism rate is 58%, meaning the criminal justice system is not working for more than half of those who go through it. Such individuals find themselves incarcerated again, sometimes just a few months or years after their release. In 2019, it was reported that Arkansas' prisons violating their terms of probation or parole fell more than forty-one (41) percent. This was a result of the new law aimed at reducing the steady growth in population. The legislature passed Act 423 of 2017 which was intended to give parolees and probationers opportunities to address violations such as failed drug tests and to give parolees and probationers more opportunities to correct slip-ups for violations. The law went into effect on Oct. 1, 2017, and since that time, more than 1,932 parolees and probationers have been sanctioned to residential treatment centers run by the Department of Community Correction. Legislature passed Act 659 of 2023 – (Protect Arkansas Act). This Act is in place to amend Arkansas law concerning sentencing and parole; to amend Arkansas law concerning certain criminal offenses; to amend Arkansas law concerning the Parole Board; and to create the legislative recidivism reduction task force.

According to Bureau of Justice Statistics of the US Department of Justice, Arkansas has an incarceration rate of 942 per 100,000 people (including prisons, jails, immigration detention, and juvenile justice facilities), meaning that it locks up a higher percentage of its people than any democratic country on earth. As an essential public safety agency, the ADC operates 24 hours a day, 7 days a week and is charged with providing secure confinement and rehabilitative services to more than 16,500 incarcerated individuals.

ACC's inmate population for residential treatment continue to show decreases; however there continues to be a need to address offender's substance use including opioid/prescription drug

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program misuse and abuse. During Arkansas' state fiscal year 2022, 100% of the Arkansas Community Correction's (ACC) Special Needs population represented drug offenses.

The number of people on parole who have been sent back to prison continues to create a huge problem of prison growth and overcrowding in jails and prisons across Arkansas. Each year, thousands of people are booked into jails, which are not equipped to provide necessary treatment. Data continues to indicate that an estimated 17% who are booked into jails have serious mental illnesses which includes substance use disorders. These issues have forced officials to initiate solutions to strengthen parole rules, to create more bed space and to facilitate change of the behavior among offenders. In fiscal year 2022 there were 1,744 charges that resulted in adjudication to a Community Correction Center. Of those charges, 1,077 were drug-related, which is about 62% of all offenses.

From the most recent report of the Arkansas Department of Corrections, updated data from ADC FY22 Annual Report shows that 0.89% of inmates were charged with code 02-2, use of drugs, alcohol, chemical substances in FY 2022. Data shows that 0.48% of inmates were charged with code 12-2, refuse to participate in treatment program in FY 2022. In Community Corrections, there are six community correction centers for residential treatment services. The six units combined have a total capacity of 1,794 beds. In calendar years 2020 to 2022, there were 6,057 arrests in Arkansas that resulted in an incarceration (both ADC and ACC). Of those, around 44% were drug related incarcerations.

The RSAT projects currently being implemented are Arkansas Department of Corrections/Community Correction, prison-based, and Sevier County Detention Center, jailbased. The Arkansas Community Correction (ACC) supervises more than 69,000 offenders

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program throughout the state. The agency is responsible for adult parole and probation supervision and the operation of six community-based correctional facilities that are accredited by the American Correctional Association (ACA) and licensed as alcohol and other drug abuse residential treatment facilities. The state of Arkansas substance abuse treatment facility licensure process is governed by the Department of Human Services (DHS) Division of Provider Services and Quality Assurance (DPSQA). The programs are subject to independent audit and must adhere to quality client care standards of treatment practice. All personnel hired with ACC residential services go through the Residential Basic Training Academy (RSBT). Security staff attend RSBT for four weeks, while Treatment and Support Staff attend two weeks of RSBT training which includes the therapeutic community best practices. Additionally, positions hired for treatment must attend forty annual training hours of which twenty must be approved by the Certification Board as formal treatment training continuing education. Treatment positions are governed by the Arkansas Substance Abuse Certification Board and are required to either be certified as an alcohol and drug abuse counselor or become certified within five (5) years of hire. All new hires will become a Counselor in Training through the Arkansas Substance Abuse certification board within 30 days of hire. All treatment staff are supervised by a certified Clinical Supervisor. Any Treatment Staff in a supervisory position must become certified as a Clinical Supervisor through the Arkansas Substance Abuse Certification Board. To ensure quality client care, staff are required to participate in counselor developmental and supervision needs. Counseling positions are bound by standards of practice as well as ethical guidelines when providing treatment to residents in ACC facilities. The facilities have been audited by Arkansas Foundation for Medical Care (AFMC) AFMC performs Inspections of Care (IOC) reviews of the Alcohol and Other Drug Abuse Treatment Providers (AODATP) for the Division of Provider Services and Quality Assurance with the

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program Arkansas Department of Human Services. The Arkansas Department of Human Services Division of Behavioral Health Services Licensure Standards for AODATP was used in the completion of the Health and Safety Inspection for IOC.

Observations and any deficiencies noted are listed for inspections and licenses are granted. East Arkansas Community Correction Center was recently audited February 2024. Their current license expires 09/27/2025. Licensed and Certified treatment staff provides the following core functions of counseling: performance of assessment instruments/tools regarding substance use disorder criteria; apply techniques and theories of counseling that are evidence-based for the population served; and provide referral for services needed outside of their scope of practice such as medication assisted treatment, psychological assessment/treatment, life skills such as job readiness and/or parenting. As Certified Alcohol and Drug treatment providers, ACC's treatment staff utilize the 12 Global Criteria of substance abuse counseling: Screening, Intake, Orientation, Assessment, Treatment Planning, Counseling, Case Management, Crisis Intervention, Client Education, Referral, Report and Record Keeping, and Consultation.

The Arkansas Community Correction (ACC) RSAT program uses the Living in Balance curriculum by Hazelden. The curriculum is based on cognitive-behavioral therapy and utilized for multiple types of care including, but not limited to, inpatient, outpatient, evening/weekend programs, and continuing care. Living In Balance has proven to be ideal for treatment, mental health, and corrections. Living in Balance is client focused and covers topics such as The Twelve Steps, Self-Help, Relapse Prevention, Seeking Help, Physical Wellness, Problem Solving, Money Management, Addiction, Abuse, and more.

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The program also uses the Modified Therapeutic Community approach as well as the Early Release Program to measure program participation. Participants in the program are currently monitored by a multi-disciplinary team that includes but is not limited to a counselor, social worker, clinical supervisor, physician, and psychiatrist.

ACC Long Term Program goal is to create a positive peer culture and facilitate overall lifestyle changes for the residents/inmates. These lifestyle changes are demonstrated by residents remaining drug-free and having the ability to interact in society without further criminal activity, while taking responsibility for ongoing recovery and contributing to their communities. These changes are achieved through the structured environment of the therapeutic community, including having explicit rules, the use of positive peer pressure, confrontation, sanctions, cognitive intervention - a process of identifying and correcting errors in thinking and thus, behavior - and the 12-Step approach. The Modified Therapeutic Community program has three basic phases that are progress driven. The normal length of stay will be six months to one year. As soon as the resident enters the program, an extensive assessment is completed, and the resident is placed in the Phase System.

ACC also operates a Special Needs Program (SNP) which RSAT funds supports. The SNP is an intensive substance abuse program designed for offenders with co-occurring disorders. These offenders have records of substance abuse, mental health and/or chronic medical issues. The program is designed for long term treatment, with an average completion of six to 12 months. This program operates within two modified therapeutic communities one male facility and one female facility.

ACC's RSAT funded special needs population treatment consists of the female facility, East Arkansas Community Correction Center (ECACCC) at West Memphis, Arkansas, which

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program houses special needs offenders sentenced by the courts with identified co-occurring disorders. The treatment practices and services are evidenced-based and continue to target participants' cognitive, behavioral, social, vocational, and other skills to facilitate recovery for substance us disorders and related problems.

ACC has successfully implemented its Medication Assisted Treatment (MAT) in conjunction with counseling and behavioral therapies. ACC's previous goal was to conduct an electronic method of screening and assessment to determine the need for MAT for appropriate residents and program participants. This goal has been met. With RSAT funding, we are able to address trauma, life skills, and domestic violence. In addition, ACC continues the *Life Skills curriculum* which is an interactive journaling series being utilized with participants. *Seeking Safety (trauma)*, staff were trained to provide this component to participants. ACC also implements *Parenting Inside Out* (PIO), a cognitive behavioral program, which entails 48 hours of instruction with a format of two, 2-hour classes for 12 weeks. The PIO curriculum includes core topics of communication skills, problem solving, emotion regulation, non-violent discipline techniques, positive reinforcement, and monitoring as well as, child development, using structure, routine, rules, rewards, and consequences for child guidance, and healthy family dynamics.

As of October 2021, to date, over 1850 residents housed in the RSAT funded program, Special Needs, at East Central Arkansas Community Correction have successfully completed the program and graduated.

#### B. PROJECT DESIGN AND IMPLEMENTATION-

*Requirements for Urinalysis* – There is a policy on drug testing of individuals in treatment programs. There has not been a change in state law or policy regarding substance use testing of individuals in correctional/residential treatment programs, including those released in state

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program custody. As required of the RSAT program, participants will be tested throughout treatment based on suspicion and practice. The Arkansas Community Correction's overall policy is to maintain a zero tolerance for substance abuse. Random and periodic urinalysis tests are conducted for residents in the correctional facilities by security staff using drug testing cups; specialized 16-panel drug test cups have now been introduced to help screen for a broad range of illegal substances. Drug tests are performed solely for the purpose of determining offender compliance with the terms of supervision and not for the purpose of providing information for the diagnosis, prevention or treatment of any disease or impairment of the assessment of the health of the offender. Arkansas Community Corrections (ACC) enforces the terms of offender supervision by administering an offender substance abuse testing program to enforce supervision conditions, reduce recidivism and to enhance safety for the public and staff. Any positive tests by any offender resulted in sanctions. Appropriate sanction for positive tests is determined in accordance with policy. Recommendations for revocation for violation of drug abuse policy will follow established procedures. Offenders will also be interviewed to determine the source of prohibited drugs. The number of RSAT individuals tested for the use of illegal substances varies from year to year according to enrollment. During October 2021 to date, the RSAT sub-recipients performed approximately 223 tests combined for the use of illegal substances. The number of unduplicated individuals (offenders) tested were approximately 200. All drug tests resulted in negative results. In addition to Special Needs drug testing, over 90 of those drug tests were for admission into the MAT program. At this time, over 30 offenders who were released since October 2021 that were involved in the MAT program, are currently still active in the program.

Description of the effectiveness and science-based nature of existing treatment service(s)/practice(s)- The treatment services are provided to residential clients in state facilities

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program who meet certain criteria. As bed space becomes available, individuals on active waiting lists are considered for transfer and entry into the program when they are within 12-18 months from their parole eligibility date. The level of treatment provided is determined based on the severity of the problem and the length of time left incarcerated. Evidence based curriculum follows lesson plans and homework assignments and are reviewed and assessed by primary counselors. The program is a licensed substance abuse treatment facility and entails over 20 hours of treatment services per week. The unit operates as a modified therapeutic community which teaches responsible living through social learning and cognitive behavioral intervention strategies.

Arkansas Community Corrections (ACC) will offer RSAT to a special need's population. This program is designed specifically for female offenders who have a history of substance abuse with a secondary diagnosis of a mental illness or other medical component which requires specialized treatment. As of June 2023, the total population of the target facility grew by 50 residents which triggered a growth in the needs of a special needs population. The target facility is projected to raise it's capacity by an additional 50 within the next fiscal year. The program will therefore target 80-120 female offenders/residents in FY 2023-24. Eligibility includes assessment of diagnosis for substance use disorder using the Addiction Severity Index (ASI) tool along with DSM-V criteria as well as identification of diagnosed mental health or medical issue. Offenders will be engaged in a long-term modified therapeutic community for a minimum of 6-12 months. During this period, offenders will have an opportunity for positive change and recovery through individualized treatment plans of continuity of care as well as a holistic approach to recovery that addresses immediate needs. Everything in this program revolves around schedules, treatment and accountability.

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Treatment Methodologies-The RSAT program is presented as a comprehensive substance abuse treatment program combined with a Modified Therapeutic Community as the modality of treatment. This treatment approach addresses the biopsychosocial needs of offenders to enable the participant to gain insight into the patterns of behavior that lead to substance abuse and criminality. The extended length of treatment and the isolation of the Therapeutic Community population allow the participants the time and space necessary to break through the substance abuse cycle. Random drug tests provide an incentive for abstinence and enforced accountability. As persons are confronted about their thinking and actions, the support of a peer community provides a solid therapeutic experience because all participants have experienced the same types of behavior and problems.

The general treatment design has four major components: 1) Intake-assessmentconsultation by a multi-disciplinary team; 2) six to twelve months of residential treatment at a facility designated for this purpose segregated from the general prison population; 3) pre-release services following care; and 4) case-coordinated community-based services.

The Treatment Continuum is composed of five phases:

Phase I (15 to 30 days)	Orientation/Stabilization: Provide pre- treatment as an adjustment service to new program participants.			
Phase II (3 to 10 months)	Primary Care Treatment/Therapeutic Community.			
Phase III (45 days)	Transition Phase/Relapse Prevention.			
Phase IV (Varied)	Aftercare/Pre-Release (Institutional Follow-Up).			
Phase V (6 months)	After (Community Care): Services are accomplished with the cooperation of the Department of Community Correction (ACC), Parole and Probation Services, Department of Human Services, and local jails in cooperation with community service providers.			

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*Medication Assisted Treatment (MAT)-* In order to better serve program participants with greater treatment needs, ACC will continue to provide Medication-Assisted Treatment (MAT) identification tools, client education, and referral for services as needed. ACC now has a dedicated Counselor that educates and screens all residents in the target facility including the special needs population in the RSAT program. An electronic method of screening and assessment will be used to determine the need for MAT for appropriate residents/probationers/parolees. MAT educational services will be recommended for residents who meet the criteria of ASI, DSM V, and Rapid Opioid Dependence Screen (RODS) and program services will be voluntary for those that qualify. Residents will be referred to services available in residential or community-based setting as appropriate and available. Medications would also be considered part of a contemporary standard of care for the treatment of individuals with opioid use disorders and co-occurring mental illness.

Currently through the RSAT funded program, ACC is providing MAT services for offenders in the special needs program at the ACC center in West Memphis who are released anywhere in the state of Arkansas. For those being released outside of the State of Arkansas, offenders interested in the MAT program still can attend all classes and educational seminars and will receive a referral post-release. With other funding sources, such as the State Opioid Response grant (SOR), ACC now provides MAT services to residents at 5 of the 6 ACC centers, these residents are also released statewide. ACC specifically uses Vivitrol, because it does not present an issue with diversion while incarcerated since it blocks the effect of opioids and is not a derivative of an opioid used for detoxification. As such, Vivitrol can be given by physicians in the community without a DEA waiver. MAT is an evidence-based practice for opioid treatment.

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There are multiple offenders interested in the MAT Vivitrol Program. Many potential participants are pending medical assessments, but all have agreed to the MAT program. Currently, we have 5 residents pending to join at the target facility: ECACCC. East Central Arkansas Community Correction has actively maintained MAT participants since its inception. Offenders in the MAT Vivitrol Program attend additional classes on top of their regular programming twice a week. These classes are specifically designed for MAT participants focusing on MAT education, opioid education, relapse prevention and more. All MAT classes are taught by the MAT grantfunded advisor position.

There continues to be efforts in assisting in getting priority for insurance coverage and appropriate plans for medication coverage for the released participants. Upon release, there is approximately 30 to 45-day gap before insurance goes into effect. As of July 1, 2020, Medicaid will make Vivitrol pharmacy benefit if it is authorized by the PCP. As of this date, there are over 70 community providers who administer Vivitrol to RSAT offender's post-release.

In SFY 2022, ACC detailed goals are to continue and enhance its existing treatment and aftercare services for participants in the RSAT program in the same location (ECACCC, West Memphis) with the intention to expand to other correction centers for subsequent years. The RSAT objectives are **Objective 1:** Enhance and/or build capacity to provide residential substance abuse treatment for incarcerated inmates. The program will provide residential substance abuse treatment for female offenders with co-occurring disorders in the East Central Arkansas Community Correction Center Special Needs Program (SNP). MAT will continue; **Objective 2:** Ensure that RSAT participants are not abusing substances while participating in the program or upon program completion. All offenders in the RSAT program will be drug tested. All offenders identified for the MAT program will be drug tested upon consent and prior to all Vivitrol administrative whether

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program that be an oral form (3-Day Challenge), or injections; **Objective 3:** Prepare offenders for their integration into the communities through re-entry planning activities. ACC will address criminogenic factors and trauma through evidence-based parenting programming using the Seeking Safety and Parenting Inside Out curriculum; and **Objective 4:** Assist participants and their communities through the re-entry process through the delivery of community-based treatment and other broad-based aftercare services. ACC will continue to provide continuity of care with re-entry services to offenders participating in the MAT Vivitrol program with aftercare with the aim to reduce recidivism and increase public safety.

How Funded Programs Will Address the Addition of Opioid Abuse Reduction Treatment and Services– The Grantee will address the opioid abuse and misuse reduction through its funded projects. Currently, the are RSAT sub recipient representatives who are participating in a comprehensive strategic planning workgroup to develop priorities and strategies for addressing the opioid epidemic. In addition, sub recipients will be required to identify their own strategies in their projects which will address the opioid abuse/misuse. Also, because of the increased levels of misuse and abuse of opioid, the Opioid Use Disorder rate is high for the state. Statistics indicate that relapse to opioid use following release from incarceration is extremely common, which makes the period of community re-entry following release critical to engage offenders in treatment. Nearly three-quarters of prisoners with opioid use disorder relapse within 3 months of release. Through Medication Assisted-Treatment (MAT), opioid abuse reduction treatment is currently being addressed by Arkansas Community Correction (ACC), the RSAT sub grantee. All offenders will undergo additional screening for opioid disorders using the RODS to identify their specific needs. All offenders identified to be dependent on Opioids will be referred to the RSAT MAT designated counselor. Each of these offenders will meet with the RSAT MAT counselor to receive

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program specific information on Vivitrol and make an educated decision to consent or decline the program. ACC will provide Medication Assisted Treatment (MAT) to all offenders who consent to the program. ACC will administer Vivitrol to identified offenders with opioid use disorder, who would benefit from the service, prior to their release, and continue Vivitrol administration upon their release to the community. Regardless of being in the MAT program, all offenders and staff will receive education on opioid use disorders and Medication Assisted Treatment.

Description of Community Reintegration Services of Continuum of Recovery and Aftercare- Reintegration to the community is an essential component. Aftercare services are mostly provided by community-based organizations. Prior to inmates' releases, the RSAT funded programs are to provide inmates with re-entry activities and assist with the aftercare services. Currently, the jail-based sub recipient provides aftercare services through local partnerships such as the mental health facility, faith-based organizations, other state entities, and other community non-profits.

ACC utilizes licensed state and local agencies, and in-house staff to coordinate its reentry services. ACC is authorized to transfer eligible inmates up to 18 months prior to release on parole to licensed facilities to complete a six-month reentry program. ACC has initiated "Good Grid", an online reentry portal for offenders, services providers, and community organizations. This portal brings together all of the partners necessary for the creation of a strong re-entry system. It allows service providers, state agencies, volunteers, and community organizations to communicate and collaborate regarding services, events, jobs, and other opportunities. The portal also allows for returning offenders to organize their various needs for re-entry.

The Good Grid has a search directory of service providers in Arkansas that is divided by location and category, such as food assistance, support groups, and substance abuse treatment. It

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program includes a statewide directory of employers, volunteers, and service providers who can assist offenders. The portal includes a resume builder and a job bank of businesses willing to hire offenders. As of 2023, over 12,500 offender resumes have been updated, and more than 69,000 parolees & probationers and 15,000 state inmates have been enrolled for access. At ECACCC in 2023 alone, 220 resumes have been updated through the good grid and 345 new users have been enrolled in Good Grid services. As part of early release eligibility, all offenders must become 100% on the Good Grid meaning they complete a resume, a letter of explanation, and more. The portal's extensive listing of available services also is available to law enforcement agencies and the public.

ACC other services include a variety of programs to offenders that provide a continuum of treatment services while under community supervision. Enrollment in these services is based upon an assessment of offender needs developed during pre-release from confinement or when placed under basic community supervision (probation or parole). Available services include substance abuse intervention skills, adult education, moral reconation therapy (behavioral modification), life/social skills, employment readiness, health education, urinalysis screening, day reporting, and substance abuse outpatient treatment. Other resources include referrals to residential substance abuse and mental health treatment. Current services that offenders receive are based on the aftercare plan developed in the institutions by qualified counselors and submitted to the Department of Community Correction treatment coordinator who sends the aftercare plan to supervising officers. Additional services may be provided to the offender if the supervision officer has adequate justification for increased services, e.g., failed urinalysis test, local law enforcement contact, etc.

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Recent state legislation requires pre-release enrollment for eligible inmates in the Department of Correction as well as persons in custody of Arkansas Community Correction. Additionally, parolees and probationers under supervision will be screened for Medicaid eligibility. ACC has established an agreement with local insurance agency to assist residents and offenders with applications for health insurance. All residents preparing for release receive direct assistance with completing their applications, either through Arkansas Works or traditional Medicaid. ACC continues to coordinate with the Eaton Agency. This agency assists residents who are being released with completing their applications for medical healthcare insurance. The results are tracked monthly and are available. Other efforts including building a network of PCPs and Mental Health professions that would work with the Probation Officers and Drug Courts to build an all-encompassing treatment for those that leaving treatment and enter back into the community. There continues to be efforts in assisting every offender in getting priority for insurance coverage and appropriate plans for medication coverage post-release. ACC has played a major role in assisting more than 31,000 residents when it comes to health insurance.

#### C. CAPABILITIES AND COMPETENCIES

*Capabilities and Competencies of Department of Finance and Administration-Intergovernmental Services (DFA-IGS)*. DFA-IGS has been awarded and administered many DOJ competitive and formula-based grants (i.e. VOCA, STOP, FVPSA, JAG, RSAT, and SORNA). DFA-IGS currently has over 400 subrecipients and has successfully followed federal guidelines and regulations. There have been no major findings cited during federal reviews.

DFA-IGS overall goal is to continue funding facilities working towards breaking the cycle of drugs and violence by reducing the demand, use, and trafficking of illegal drugs. These facilities' specific goal is to reduce recidivism as well as reduce violence and illegal actions. Their targeted goal is to

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program change the behavior and attitude through various treatment elements, as well as after-care services and re-entry, while an individual is incarcerated. In these efforts, offenders/inmates will be introduced to effective treatment strategies (including MAT), evidenced based program or practices, and services which prepare them for sustainable reintegration into the community. Another specific goal is to enhance and build capacity for a holistic approach for effective treatment for inmates in prison and jail facilities. Through collaborations and partnerships at the local level, Residential Substance Abuse Treatment (RSAT) will be utilized to prepare inmates for re-entry in their community with continued aftercare services. RSAT programs will be required to work to ensure that participants are not abusing substance while enrolled in the program. To further build capacity, the State Administering Agency (SAA)-DFA-IGS will continue to assist in developing jail-based programs in the state as funding allows.

To further demonstrate capabilities and competencies, below are Arkansas' proposed program objectives/activities.

• Continue to fund and support prison-based projects with RSAT funding as well as support jailbased projects

• As funding will allow, increase the number of treatment programs being implemented in jailbased settings to include aftercare and/or MAT while addressing alcohol and opioid use disorders.

• Require program subrecipients implementing RSAT to utilize at least one evidence-based treatment program and/or best practices-promising practices

 Require program subrecipients to work towards a ninety percent (90%) of RSAT program participants testing negative of substance use during and after program completion as well as during aftercare services

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program

• Require subrecipients to have ninety percent (90%) of RSAT program participants enrolled in aftercare services and participate in reentry activities

Capabilities and Competencies of Department of Correction/Community Corrections. The Arkansas Community Correction (ACC) is the state's only prison-based program. ACC supervises more than 60,000 offenders throughout the state. The agency is responsible for adult parole and probation supervision and the operation of six community-based correctional facilities that are accredited by the American Correctional Association (ACA) and licensed as alcohol and other drug abuse residential treatment facilities. The state of Arkansas substance abuse treatment facility licensure process is governed by the Department of Human Services (DHS) Behavioral Health Services. The programs are subject to independent audit and must adhere to quality client care standards of treatment practice. All personnel hired with ACC residential services go through the Residential Basic Training Academy (RSBT) which consists of four weeks training in security and Arkansas Department of Finance and Administration FY 2023 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program treatment which includes the therapeutic community best practices. Additionally, positions hired for treatment must attend forty annual training hours of which twenty must be approved by the Certification Board as formal treatment training continuing education. Treatment positions are governed by the Arkansas Substance Abuse Certification Board and are required to either be certified as an alcohol and drug abuse counselor or become certified within three years of hire. All treatment staff are supervised by a certified Clinical Supervisor. To ensure quality client care, staff are required to participate in counselor developmental and supervision needs. Counseling positions are bound by standards of practice as well as ethical guidelines when providing treatment to residents in ACC facilities. The facilities have been audited by the Department of Humans Services, with treatment licenses approved through 2025. Personnel in the substance abuse treatment

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program field provide the following core functions of counseling: performance of assessment instruments/tools regarding substance use disorder criteria; apply techniques and theories of counseling that are evidence-based for the population served; and provide referral for services needed outside of their scope of practice such as medication assisted treatment, psychological assessment/treatment, life skills such as job readiness and/or parenting.

The Arkansas Community Correction (ACC) RSAT program uses the Living In Balance Curriculum by Hazelden Publishing. The curriculum is based on cognitive-behavioral therapy and motivational interviewing/motivational enhancement therapy treatment concepts. Successful management of individual specific risk factors is a critical part of recurrence/relapse prevention. The program also uses the Modified Therapeutic Community approach as well as the Early Release Program to measure program participation. Participants in the program are currently monitored by a multi-disciplinary team that includes but is not limited to a counselor, social worker, clinical supervisor, physician, and psychiatrist.

ACC Long Term Program goal is to create a positive peer culture and facilitate overall lifestyle changes for the residents/inmates. These lifestyle changes are demonstrated by residents remaining drug-free and having the ability to interact in society without further criminal activity, while taking responsibility for ongoing recovery and contributing to their communities. These changes are achieved through the structured environment of the therapeutic community, including having explicit rules, the use of positive peer pressure, confrontation, sanctions, cognitive intervention - a process of identifying and correcting errors in thinking and thus, behavior - and the 12-step approach. The Modified Therapeutic Community program has three basic phases that are progress driven. The normal length of stay will be six months to one year. As soon as the resident enters the program, an extensive assessment is completed, and the resident is placed in the Phase System.

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program ACC also operates a Special Needs Program (SNP) which RSAT funds support. The SNP is an intensive substance abuse program designed for offenders with co-occurring disorders. These offenders have records of substance abuse, mental health and/or chronic medical issues. The program is designed for long term treatment, with an average completion of six to 12 months. This program operates within two modified therapeutic communities one male facility and one female facility.

ACC's RSAT funded special needs population treatment consist of the female facility, East Arkansas Community Correction Center (ECACCC) at West Memphis, Arkansas, which houses special needs offenders sentenced by the courts with identified co-occurring disorders. This unit is operating as a modified therapeutic community. The treatment practices and services are evidenced-based and continue to target participants' cognitive, behavioral, social, vocational, and other skills to facilitate recovery for substance use disorders and related problems.

ACC has successfully implemented its Medication Assisted Treatment (MAT) in conjunction with counseling and behavioral therapies. ACC's goal during the last fiscal year was to help increase the number of individuals with Opioid use disorder receiving medication assist treatment; and decrease illicit Opioid use and prescription Opioid misuse. This goal was met. In addition, ACC continues the *Life Skills curriculum* which is an interactive journaling series being utilized with participants. *Seeking Safety (trauma)*, staff were trained to provide this component to participants. ACC also implements *Parenting Inside Out* (PIO), a cognitive behavioral program, which entails 48 hours of instruction with a format of two, 2-hour classes for 12 weeks. The PIO curriculum includes core topics of communication skills, problem solving, emotion regulation, non-violent discipline techniques, positive reinforcement, and monitoring as well as, child development, using structure, routine, rules, rewards, and consequences for child guidance, and healthy family dynamics. From October 2021 to present,

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program ACC's West Memphis RSAT funded program successfully entered 244 residents to the program with 167 successful resident completions.

Capabilities and Competencies of Sevier County Detention Center (jail-based). Sevier County Sheriff's Department is responsible for approximately 581 square miles and 15,840 citizens. The detention center is a 74-bed facility and RSAT represents about fifteen percent (15%). The Sevier County/Sevier County Detention Center is in its sixth year of implementing the jail based RSAT program. For the current fiscal year, the approximate percentage of total arrests related to substance abuse is 80%. Sevier County continues to operate a 3-month (90 day) treatment program for its inmates. Sevier County currently partners with the Sevier County Outreach Center and the Sevier County Jail where counseling sessions are conducted by a certified counselor weekly. This program assisted inmates/offenders who were arrested for DWI offenses and/or controlled substances and provides other various services. Based on their assessment from the last state fiscal year grant cycle, approximately 27% of their total arrests were substance abuse related. This number is 5% more than the previous year. Through a partnership with Turn-Key Health, an accredited medical provider, participants are screened and assessed based on inmate's classification using the North Point Classification System. Additional community partnerships (i.e., faith-based organizations, local businesses) have been available to assist the inmate's re-entry to the community. The Sevier County Outreach Center continues to provide aftercare services to participants who complete the RSAT program. The RSAT program participants are separated from the general population. Sevier County Detention Center continues to enroll inmates and documents a successful completion rate. Two hundred-Fifty-Six (256) inmates have enrolled since the beginning of the program with a completion rate of 73%. To date, 70 percent of graduates remain drug free with no additional arrests. Along with required substance abuse classes, the program's electives include anger management, adult education classes, career development, parenting classes or

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program church attendance. Many graduates are now employed full time and have reclaimed custody of children previously in foster care.

In the jail-based setting, the Matrix Model of Treatment and Motivational Interviewing techniques are utilized. Program participants engage for at least three (3) months. Inmates are to attend four (5) classes a week (two (2) hour each) for a total of fifty (50) weeks. The weekly sessions are conducted by the certified drug and alcohol counselor, Peer Recovery, RSAT After Care Coordinator, and therapy. Prior to enrolling in the RSAT program, participants are screened and assessed by the partnering medical provider to determine if RSAT would be beneficial to the inmate. As part of the RSAT requirements, program participants will be drug tested prior to enrollment, during, and when released from the RSAT program.

#### **D. PLANS FOR DATA COLLECTION**

To ensure compliance with the Government Performance and Results Act (GPRA), Public Law 103-62, the State Administering Agency (SAA) requires subgrantees to report data that measures the result of their work in the Performance Measurement Tool PMT quarterly.

Subgrantees are made aware of the required performance measures as a part of the solicitation process and required reporting. A quarterly report tool is provided to each sub recipient to assist with quarterly submissions in PMT. (This reporting tool was developed and based on the RSAT program performance measures.) The tool will allow the sub grantee to record and track accurate data. To ensure that federal reporting is done in a timely manner, the Grantee (SAA) requires the sub recipient to enter their performance measure data in the Performance Measurement Tool (PMT) reporting system by the 15th of the month after the quarter end. The specific RSAT performance measurement reporting tool has been developed to capture required program information, data, and progress from the sub recipients.

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program This program data and statistics will be submitted in PMT on a quarterly basis. Sub recipients are aware of this requirement in their noted special conditions prior to funds being awarded. For accountability, the special conditions are a part of their signed award documents. Program staff will ensure that PMT reports are completed. The Program Manager will be responsible for reporting to Bureau of Justice Assistance (BJA) via JustGrants in a timely manner as stated.

ACC's process of measuring project performance-subrecipient level. Each participant of the RSAT program is to be tracked using the Electronic Offender Management Information System (eOMIS®). ACC maintains a customized eOMIS<sup>TM</sup>, developed and owned by Marquis Software Development, Inc. This database is used to track offenders within community corrections facilities and facilitate information collection and sharing between Arkansas Division of Community Correction, Arkansas Department of Correction, the Arkansas Parole Board, and key Arkansas criminal justice stakeholders such as the Arkansas Crime Information Center. All technology-assisted services will be documented in eOMIS<sup>TM</sup>. eOMIS® is used to collect and retain all offender demographics, supervision information, criminal convictions, incarceration records and parole information for the entire state correction system (supervision and prison). The data elements will come directly from the eOMIS system.

RSAT project evaluations will also be completed by each RSAT program staff. Drug test results, program compliance monitoring of current participants, and the number of residents who have successfully completed the program with no re-arrests or re-convictions within one-year post-completion will be monitored by the Treatment Program Administrator. The program staff will gather all tablet performance measures including the number of hours of tablet use, completion of treatment curriculum, the number of participants using the tablets, community resource referrals from Good Grid access, resume building, and residential evaluation data.

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program

Offenders within the RSAT program will be monitored and their information tracked for program retention purposes. All data regarding program completion, relapse, and positive and/or negative drug test results will also be filed. For additional data of effectiveness, each participant will complete a program evaluation once he or she exits the program. Program participant who successfully completed RSAT will be tracked for re-arrest and re-offense rates within the first year of post-release.

From 2020 to current, with a combined total between ADC and DCC, Eaton Agency has obtained insurance to assist current and past probation/parolees, DCC residents, and ADC populations. From January 2020 to date, more than 65,000 offenders have had contact with Eaton Agency either by completing applications, screening, receiving, and/or enrolled in a qualified health plan statewide.

FY 2024 Residential Substance Abuse Treatment (RSAT) for State Prisoners Program

Objectives	Activities	Responsible Party
Preparation for development and implementation of substance abuse treatment for incarcerated inmates.	August 2024– December 2024 Develop and execute request for application solicitation process or Prepare for a Continuation Application.	AR Department of Finance and Administration- Intergovernmental Services- Program Manager
	Host an RFA workshop or Presentation for jail-based potential applicants	
	December 2024 – January 2025 Review of applications and award selected programs (sub recipients) or Issue a Continuation	AR Department of Finance and Administration- Intergovernmental Services- Program Manager Arkansas Alcohol and Drug Abuse Coordinating Council (Review and Approve)
Implementation of RSAT Projects (New or Continuation)	February 2025 Begin funding reimbursements for approved RSAT expenses submitted by sub recipients	AR Department of Finance and Administration- Intergovernmental Services Approved Sub-recipients
Project Monitoring	February 2025-January 2026 Conduct at least one (1) site visit during the project period Ongoing monitoring and TA to sub recipients as needed.	AR Department of Finance and Administration- Intergovernmental Services Grants Analyst
Data Collection to assure that federal reporting requirements are met	December 2024 and March 2025, June 2025 September 2025 Quarterly reporting of PMT data	Subrecipients/Subgrantees
	<b>January 2025 and July 2025</b> <b>Semi-Annual</b> reporting to BJA/JustGrants.	AR Department of Finance and Administration- Intergovernmental Services
Closeout of Sub awards (Tentatively)	November 2026-December 2026 Prepare internal close-outs and report to JustGrants and upon project completion report final report to BJA	AR Department of Finance and Administration- Intergovernmental Services

### TIMELINE OF PROJECT MAJOR ACTIVITIES



#### U.S. DEPARTMENT OF JUSTICE OFFICE OF JUSTICE PROGRAMS

#### FINANCIAL MANAGEMENT AND SYSTEM OF INTERNAL CONTROLS QUESTIONNAIRE

The financial management system of each non-Federal entity must provide for the following

- Retention requirements for records
- · Requests for transfer of records
- · Methods for collection, transmission and storage of information
- · Access to records
- · Restrictions on public access to records

(1) Identification, in its accounts, of all Federal awards received and expended and the Federal programs under which they were received. Federal program and Federal award identification must include, as applicable, the CFDA title and number, Federal award identification number and year, name of the Federal agency, and name of the pass-through entity, if any.

(2) Accurate, current, and complete disclosure of the financial results of each Federal award or program.

(3) Records that identify adequately the source and application of funds for federally-funded activities. These records must contain information pertaining to Federal awards, authorizations, obligations, unobligated balances, assets, expenditures, income and interest and be supported by source documentation.

(4) Effective control over, and accountability for, all funds, property, and other assets. The non-Federal entity must adequately safeguard all assets and assure that they are used solely for authorized purposes.

(5) Comparison of expenditures with budget amounts for each Federal award.

(6) Written procedures to document the receipt and disbursement of Federal funds including procedures to minimize the time elapsing between the transfer of funds from the United States Treasury or the pass-through entity and the disbursement by the non-Federal entity whether the payment is made by electronic funds transfer, or issuance or redemption of checks, warrants, or payment by other means.

(7) Written procedures for determining the allowability of costs.

APPLICANT ORGANIZATIONAL INFORMATION
1. Name of Organization and Address:
Organization Name: Arkansas Department of Finance and Admin
Street1: 1509 West 7th Street
Street2:
City: Little Rock
State: AR: Arkansas
Zip Code: 72201
2. Authorized Representative's Name and Title:
Prefix: First Name: Middle Name:
Mr. Doris
Last Name: Suffix:
Smith
Title:
Administrator
3. Phone: (501) 682-5242 4. Fax: 501-682-5206
5. Email: jim.hudson@dfa.arkansas.gov
6. Year Established: 7. Employer Identification Number (EIN): 8. DUNS Number:
1971         1134026920000
9. Type of Organization:
X State Municipality Non-Profit Higher Education Tribal For-Profit
Other:

	U.S. DEPARTMENT OF JUSTICE OFFICE OF JUSTICE PROGRAMS	OMB Number: 1121-0329 Expiration Date: 12/31/2018
	AUDIT INFORMATION	
	nducted using generally accepted auditing standards (GAAS) o dards (GAAS) and results in an audit report with an opinion.	r Generally Accepted Governmental
10. The organ	ization has undergone the following types of audit(s)(Please ch	eck all that apply):
$\Xi$	33 Single Audit	
	Statement Audit Contract Agency Audit (DCAA)	
None None		
Programm	natic Audit & Agency:	
Other Aud	lit & Agency:	
Arka	ansas Legislative Audit	
11. Most Rece	ent Audit: Within the past 12 months Within the	past two years More than two years
201253010127978522200000	Audit Agency/Firm: Arkansas Legislative Audit	
AUDITOR'S	DPINION:	
12. On the m	ost recent audit, what was the auditor's opinion?	
🗵 Unqualifie	ed Opinion 🛛 Qualified Opinion 🔲 Disclaimer, Going C	Concern or Adverse Opinions
Please enter	the number of findings: 1	
Please enter	the amount of questioned costs:	
Were materia	I weaknesses noted in either the Financial Statement or Single	Audit? 🗋 Yes 🛛 No
	ACCOUNTING SYSTEM	
13. Which of t	he following best describes your accounting system:	
Manual	X Automated Combination	
	accounting system identify the receipt and expenditure nds separately for each grant?	Yes No Not Sure
	accounting system provide for the recording of expenditures t/contract by budget cost categories shown in the approved	X Yes No Not Sure
recording of c	r accounting system have the capability to document the sost sharing or match for each grant? Can you determine if n is available to support recorded match or cost share?	X Yes No Not Sure
	listribution records maintained for each employee that entify effort charged to a particular grant or cost objective?	Yes No Not Sure
preclude incu	accounting/financial system include budgetary controls to rring obligations or costs in excess of total funds available or st category (e.g. Personnel, Travel, etc.)?	Yes No Not Sure
guidelines co	anization familiar with the existing Federal regulation and ntaining the Cost Principles and procedures for the and allowance of costs in connection with Federal grants?	Yes No Not Sure



### U.S. DEPARTMENT OF JUSTICE OFFICE OF JUSTICE PROGRAMS

OMB Number: 1121-0329 Expiration Date: 12/31/2018

PROPERTY STANDARDS, PROCUREMENT STANDARD	DS, AND TRAVEL POLICIES
PROPERTY STANDARDS	
20. Does your property management system(s) provide for maintaining: (1) a description of the equipment; (2) an identification number; (3) source of the property, including the award number; (4) where title vests; (5) acquisition date; (6) federal share of property cost; (7) location and condition of the property; (8) acquisition cost; & (9) ultimate disposition information?	Yes No Not Sure
PROCUREMENT STANDARDS	
21. Does your organization maintain written procurement procedures which (1) avoid unnecessary purchases; (2) provide an analysis of lease and purchase alternatives; and (3) provide a process for soliciting goods and services?	Yes No Not Sure
22. Does your procurement system provide for the conduct to determine selection on a competitive basis and documentation of cost or price analysis for each procurement action?	X Yes No Not Sure
23. Does your procurement system include provisions for checking the "Excluded Parties List" system for suspended or debarred sub-grantees and contractors, prior to award? Please visit www.sam.gov.	Yes No Not Sure
TRAVEL POLICY	
24. Does your organization:	
(a) maintain a standard travel policy?	
(b) adhere to the Federal Travel Regulation? (FTR) Yes N	0
SUBRECIPIENT MANAGEMENT AND MONITORING	
25. (For Pass-through entities only). Does your organization have controls in place to monitor activities of subrecipients, as necessary, to determine that Federal awards are used for authorized purposes in compliance with laws, regulations, and the provisions of the award and that performance goals are achieved (2 CFR200)?	X       Yes       No       Not Sure         N/A (Your organization does not make subawards.)
STANDARDS FOR FINANCIAL MANAGEMENT SYSTEMS A	ND APPLICANT CERTIFICATION
I certify that the above information is complete and correct to the best of my have organization's Authorized Representative, Executive Director, Chief Finan or similar position.	knowledge. This document must be certified by incial Officer, Chairman of the Board of Directors,
Name: Doris Smith	Date: 2024-06-14
Title:       Executive Director       Chief Financial Officer         X Other       Administrator	Chairman
Phone: (501) 682-5242	



OFFICE OF THE SECRETARY 1509 West Seventh Street, Suite 401 Post Office Box 3278 Little Rock, Arkansas 72203-3278 Phone: (501) 682-2242 Fax: (501) 682-1029 www.arkansas.gov/dfa

**RE: Indirect Cost Rate Agreement:** 

### NOT APPLICABLE

The Arkansas Department of Finance & Administration does not have a federally approved indirect cost rate.



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**RE: Disclosure of Process Related To Executive Compensation:** 

# Not Applicable

Residential Substance Abuse Treatment (RSAT) for State Prisoners Programs-FY2024 Formula Application



OFFICE OF THE SECRETARY 1509 West Seventh Street, Suite 401 Post Office Box 3278 Little Rock, Arkansas 72203-3278 Phone: (501) 682-2242 Fax: (501) 682-1029 www.arkansas.gov/dfa

### RE: Research and Evaluation Independence and Integrity:

*i.a.* A specific assurance that the applicant has reviewed its application to identify any actual or potential apparent conflicts of interest (including through review of pertinent information on the principal investigator, any co-principal investigators, and any subrecipients), and that the applicant has identified no such conflicts of interest—whether personal or financial or organizational (including on the part of the applicant entity or on the part of staff, investigators, or subrecipients) – that could affect the independence or integrity of the research, including the design, conduct, and reporting of the research.

# N/A - The Department of Finance and Administration-Intergovernmental Services does not propose to conduct research or evaluation projects with RSAT federal funding under this solicitation.

*ii.a.* If an applicant reasonably believes that no actual or potential apparent conflicts of interest (personal, financial, or organizational) exist, then the applicant should provide a brief narrative explanation of how and why it reached that conclusion. The applicant also is to include an explanation of the specific processes and procedures that the applicant has in place, or will put in place, to identify and prevent (or, at the very least, mitigate) any such conflicts of interest pertinent to the funded project during the period of performance. Documentation that may be helpful in this regard may include organizational codes of ethics/conduct and policies regarding organizational, personal, and financial conflicts of interest. There is no guarantee that the plan, if any, will be accepted as proposed.

N/A - The Department of Finance and Administration-Intergovernmental Services does not propose to conduct research or evaluation projects with RSAT federal funding under this solicitation.

Doris Smith DFA-IGS Administrator Submitted June 14, 2024



OFFICE OF THE SECRETARY 1509 West Seventh Street, Suite 401 Post Office Box 3278 Little Rock, Arkansas 72203-3278 Phone: (501) 682-2242 Fax: (501) 682-1029 www.arkansas.gov/dfa

**RE: Applicant Disclosure and Justification:** 

### NOT APPLICABLE

The Arkansas Department of Finance and Administration is not designated as a DOJ High Risk Grantee



state of arkansas Department of Finance and Administration Office of Intergovernmental Services 1515 West Seventh Street, Suite 400 Post Office Box 8031 Little Rock, Arkansas, 72203-8031 Phone: (501) 682-1074 Fax: (501) 682-5206 https://www.dfa.arkansas.gov/intergovernmental-services

### **RE: Disclosure of Duplication in Cost Items**

The Arkansas Department of Finance & Administration does not have pending applications submitted within the last 12 months for federally funded assistance that include requests for funding to support the same project being proposed under this solicitation and/or will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation.

Doris Smith DFA-IGS Administrator Submitted June 14, 2024

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	Year 1	r 1	Year 2 (if needed)	Year 2 f needed)	Year 3 (if needed)	'Year 3 ' needed)	Year 4 (if needed)	r 4 eded)	Yec (if ne	Year 5 (if needed)	
Budget Category	Federal Request	Non-Federal Request	Federal Request	Non-Federal Request	Federal Request	Non-Federal Request	Federal Request	Non-Federal Request	Federal Request	Non-Federal Request	Total(s)
A. Personnel	\$27,686	0\$	\$0	\$0	0\$	0\$	\$0	\$0	\$0	\$0	\$27,686
B. Fringe Benefits	\$9,798	0\$	0\$	0\$	0\$	0\$	0\$	0\$	0\$	0\$	\$9,798
C. Travel	\$4,890	0\$	0\$	0\$	0\$	0\$	0\$	0\$	\$0	\$0	\$4,890
D. Equipment	\$0	0\$	0\$	0\$	\$0	0\$	0\$	\$0	\$0	\$0	\$0
E. Supplies	006\$	0\$	0\$	0\$	0\$	0\$	\$0	\$0	\$0	\$0	006\$
F. Construction	\$0	0\$	0\$	0\$	0\$	0\$	\$0	0\$	\$0	\$0	0\$
G. Subawards (Subgrants)	\$358,862	\$132,201	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$491,063
H. Procurement Contracts	\$0	0\$	0\$	\$0	\$0	0\$	0\$	0\$	\$0	0\$	0\$
Other	\$873	\$0	0\$	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$873
Total Direct Costs	\$403,009	\$132,201	0\$	0\$	0\$	0\$	\$0	\$0	\$0	\$0	\$535,210
Indiract Costs	\$0	\$0	0\$	\$0	0\$	0\$	<b>S</b> 0	\$0	0\$	0\$	0\$
. 111011 ELL CUSIS	¢403 000	\$132.201	\$0	0\$	0\$	0\$	0\$	0\$	0\$	0\$	\$535,210

### FY 2024 Residential Substance Abuse Treatment (RSAT) Program

### **BUDGET NARRATIVE**

### Personnel

Salary of staff is allocated to the grant based on their direct support of the RSAT program. Personnel cost total \$27,686.

Program Manager 15% of \$60,755	\$ 9,114.00
Grants Analyst (1) 30% of \$41,866	\$12,560.00
Fiscal Support Analyst (2) 15% of \$40,078	\$ 6,012.00
Total	\$27,686.00

### **Fringe Benefits**

Grant funds are used to support employer and mandated benefits. Requested amount of funds is \$9,505.

FICA 7.65% of salaries \$27,686	\$2,118.00
Retirement 15.32% of salaries \$27,686	\$4,242.00
Unemployment/Workers Comp 1.3% of salaries \$27,686	\$ 360.00
EBD (Health Insurance-Program Manager) \$5400 @ 15%	\$ 810.00
EBD (Health Insurance-Grants Analyst) \$5400 @ 30%	\$1,620.00
EBD (Health Insurance-Fiscal Support Analyst) \$5400 @ 12%	<u>\$ 648.00</u>
Total	\$9,798.00

### <u>Travel</u>

As an RSAT requirement, funds will be used for the once-a-year training required by the federal granting authority. Grantee will include all related travel costs (i.e. airfare, lodging, per diem, etc.) in the Travel cost line item to support two staff and/or sub recipient program staff. Conference location to be determined.

Lodging \$185/Night @ 3 Nights (3 Persons) \$1665 Meals (Per Diem) \$75/Day 3 Days (3 Persons) \$675 Airfare (Roundtrip) \$700/Flight (3 Persons) \$2100 Other (Baggage, Ground Transportation, Parking) \$150 (3 Persons) \$450 Amount requested for required travel \$4,890.

### Equipment

None

### **Supplies**

\$75/month for general office supplies (i.e. pens, pencils, folders, clips, labels, paper, ink cartridge etc.) Amount Requested for supplies is \$900

### FY 2024 Residential Substance Abuse Treatment (RSAT) Program

### Construction

There will be no funds used for construction.

### **Contractual Services**

Contractual services include sub awards made to RSAT funded entities who respond to the state's RSAT request for application solicitation and/or for continuation of successful current projects. Currently, the Grantee manages sub awards for a prison-based RSAT project and a jail-based RSAT project. Arkansas Community Corrections (ACC) is the prison-based sub recipient, Sevier County Detention Center and Hempstead County Detention Center are the jail-based sub-recipients. Requested amount for sub awards is \$358,862.

Contracts (Non-Federal) – Required Match 25% (\$132,201) Non-federal portion of the total project cost are provided by State funds and/or sub recipient's local match.

### **Other**

Administrative Cost for Rent, Communications, M&R). These costs are prorated by the department and charged on a quarterly/annually according to usage. Requested amount for other \$873.

# OMB Number: 4040-0004

Expiration Date:	11/30/2025

Application for	Federal Assista	ance SF-424			
• 1. Type of Submiss		* 2. Type of Application:	• If	Revision, select appropriate letter(s):	
Application		Continuation	• 0	Other (Specify):	
A CONTRACTOR OF A CONTRACTOR A CO	ected Application	Revision [			
* 3. Date Received:		4. Applicant Identifier:			
06/12/2024			_		
5a. Federal Entity Ide	entifier:			5b. Federal Award Identifier:	
L					
State Use Only:					
6. Date Received by	State:	7. State Application	Ide	entifier:	]
8. APPLICANT INFO	ORMATION:		_		
* a. Legal Name: 🗛	rkansas Depart	tment of Finance and A	dr	ninistration	
* b. Employer/Taxpay	yer Identification Nu	mber (EIN/TIN):	T	* c. UEI:	
71-039778				DM7JV6MBJNJ7	
d. Address:			-		
* Street1:	1515 West 7th	1 Street			
Street2:					
* City:	Little Rock		_		
County/Parish:	Pulaski				
* State:	AR: Arkansas				
Province:			_		
* Country:	USA: UNITED S	STATES	_		
* Zip / Postal Code:	72203-8031		_		
e. Organizational U	Jnit:				
Department Name:				Division Name:	
Intergovernmen	tal Services			Office of Intergovernmental Se	
f. Name and contac	ct information of p	person to be contacted on ma	att	ters involving this application:	
Prefix:		* First Name	e:	Doris	
Middle Name:					
* Last Name: Smi	th		_		
Suffix:					
Title: DFA-IGS Ad	dministrator		_		
Organizational Affilia	tion:				
Staff					
* Telephone Number	(501) 682-52	242	_	Fax Number: (501) 682-5155	
• Email: Doris.Sr			_		

Application for Federal Assistance SF-424
* 9. Type of Applicant 1: Select Applicant Type:
A: State Government
Type of Applicant 2: Select Applicant Type:
Type of Applicant 3: Select Applicant Type:
* Other (specify):
* 10. Name of Federal Agency:
Bureau of Justice Assistance
11. Catalog of Federal Domestic Assistance Number:
16.593
CFDA Title:
Residential Substance Abuse Treatment for State Prisoners
* 12. Funding Opportunity Number:
0-BJA-2024-171974
* Title:
BJA FY24 Residential Substance Abuse Treatment for State Prisoners Program Formula Grant Solicitation
13. Competition Identification Number:
Title:
14. Areas Affected by Project (Cities, Counties, States, etc.):
Add Attachment Delete Attachment View Attachment
* 15. Descriptive Title of Applicant's Project:
Residential Substance Abuse Treatment for State Prisoners.
Attach supporting documents as specified in agency instructions.
Add Attachments Delete Attachments View Attachments

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
• a. Applicant	• b. Program/Project 1-4
Attach an additional list of Program/Project Congressional Districts if needed.	
	Add Attachment Delete Attachment View Attachment
17. Proposed Project:	
• a. Start Date:         10/01/2024           • b. End Date:         09/30/2028	
18. Estimated Funding (\$):	
*a. Federal 683, 998.00	
* b. Applicant 0.00	
*c. State 178, 414.00	
*d. Local 0.00	
*e. Other 0.00	
* f. Program Income 0.00	
*g. TOTAL 862, 412.00	
<ul> <li>a. This application was made available to the State under the Executive Order 12372 Process for review on 06/12/2024</li> <li>b. Program is subject to E.O. 12372 but has not been selected by the State for review.</li> <li>c. Program is not covered by E.O. 12372.</li> <li>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</li> </ul>	
Yes No	
If "Yes", provide explanation and attach	
	Add Attachment Delete Attachment View Attachment
<ul> <li>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)</li> <li>** I AGREE</li> <li>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</li> </ul>	
Authorized Representative:	
Prefix: Mrs. *Fir	st Name: Doris
Middle Name:	
*Last Name: Smith	
Suffix:	
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* Signature of Authorized Representative: Debbie Bousquet	* Date Signed: 06/12/2024